

# Annex 1: National Funding Rules

## Denmark

Funding organisation	Innovation Fund Denmark
Funding pre-commitment	1,5 Mio. Euro
Eligible organisations	<p>Any legal entity (such as an enterprise, a research institution, or a public institution) in Denmark directly involved in the international project activities, is eligible to participate and receive funding from IFD.</p> <p>The host institution (Project Owner) for the research project must be an established research performing organisation. See the overarching eligibility criteria in the call text.</p> <p>IFD encourages Danish research partners to include Danish industrial partners as well as regional and local decision makers and NGO as active partners in the consortium in order to maximize the potential impact in Denmark.</p>
Additional eligibility criteria	
Eligible costs	<ul style="list-style-type: none"><li>• Salaries</li><li>• Equipment (equipment, materials, etc.)</li><li>• Other project-related costs (events, transportation, travel, accommodation/catering, communication)</li><li>• External services (consultancy costs, subcontracting or services)</li><li>• overhead</li></ul> <p>A maximum funding <u>amount</u> and maximum <u>funding</u> rate applies. 500.000 EUR per project and maximum 300.000 EUR per partner. If applicable overhead is included in the maximum funding. Maximum funding rates per organization according to IFD <a href="#">guidelines</a>.</p>
Further guidance	Danish applicants will receive an invitation to upload a pdf of the Nordic application and other initial documents to our national e-grant platform in the period following the central submission deadline.
National contact point	Jens Peter Vittrup E-mail: <a href="mailto:jens.peter.vittrup@innofond.dk">jens.peter.vittrup@innofond.dk</a> Tel: +45 6190 5023

Thorbjørn Moth Gilberg

E-mail: [thorbjoern.moth.gilberg@innofond.dk](mailto:thorbjoern.moth.gilberg@innofond.dk)

Tel: +45 6190 5050

## Estonia

Funding organisations	The Estonian Research Council (ETAG) and Ministry of Regional Affairs and Agriculture (REM)
Funding pre-commitment	<p>Funding pre-commitment by Estonian Research Council (ETAG): 150.000 €  Funding pre-commitment by Ministry of Regional Affairs and Agriculture (REM): 100.000 €</p> <p><b>Maximum funding amount if project partner: 100.000 €</b>  <b>Maximum funding amount if project coordinator: 150.000 €</b></p> <p>The total requested funding for all Estonian partners within one consortium may not exceed 100,000 € if project partner or 150,000 € if project coordinator. If several Estonian institutions are applying in one proposal, the total requested budget may not exceed 100 000 € per proposal in case the Estonian partner is not coordinating partner. In case of the coordinating partner, 100 000 € will be provided by REM or ETAG and an additional 50 000€ will be covered from the budget of ETAG.</p>
Eligible organisations	<p>The following eligibility criteria address Estonian applicants in European partnership calls for transnational research projects. Each project is carried out by a consortium that consist of research institutions (partners) from different countries. Each partner in a funded project will be funded by their national Funding Organisation. It is mandatory for all Estonian applicants to follow the national eligibility criteria. Please note that if one of the partners is not eligible, the entire proposal might be considered ineligible.</p> <p>In case of a positive funding decision the Host Institution and the Funding Organisation will enter into a bilateral agreement.</p> <p><b>The Host Institution</b> may be any legal entity that is registered and located in Estonia and has an Estonian bank account. The Host Institution (the final recipient) is the institution to which the grant will be allocated. After the submission deadline (in case of two-stage application, after the preproposal deadline) and upon the notice from the Funding Organisation, the Host Institution must confirm to the Funding Organisation in the written form that the project can be carried out on their premises in Estonia and that they will employ the Principal Investigator during the proposed project, should the project receive funding.</p> <p>If the Host Institution is a for-profit institution, the State aid and de minimis aid regulations must be taken into account.</p> <p><b>If there are more than one Estonian institution applying in one proposal, then all institutions must have a Principal Investigator who meets national requirements.</b></p>

<p>Additional eligibility criteria</p>	<p><b>ETAG and REM will only fund projects under Topic 1: Energy Efficiency in the Food Systems for a Green Transition.</b></p> <p><b>If several Estonian institutions are applying in one proposal, the total requested budget can be still max 100.000 € per proposal or 150 000 € per proposal in case if one Estonian institution is the coordinator.</b></p> <p><b>The Principal Investigator</b> is a researcher who acts as the Estonian team leader in the project proposal. He/she will be responsible for how the grant is used and how the Estonian part in the project is executed.</p> <p>The Principal Investigator:</p> <ol style="list-style-type: none"> <li>1. must have an updated public profile in the Estonian Research Information System (ETIS) by the submission deadline;</li> <li>2. must hold a doctoral degree or an equivalent qualification. The degree must be awarded by the submission deadline of the grant application at the latest;</li> <li>3. must have published at least three articles that comply with the requirements of Clause 1.1 of the ETIS classification of publications, or at least five articles that comply with the requirements of Clauses 1.1, 1.2, 2.1 or 3.1, within the last five calendar years prior to the proposal submission deadline. International patents are equalled with publications specified under Clause 1.1. A monograph (ETIS Clause 2.1) is equalled with three publications specified in Clause 1.1 if the number of authors is three or fewer. If the applicant has been on pregnancy and maternity or parental leave or performed compulsory service in the Defence Forces, or has another good reason, they can request the publication period requirement to be extended by the relevant period of time. If the Principal Investigator has received the PhD degree outside Estonia, its correspondence to an Estonian doctoral degree must be recognised by either the Estonian ENIC-NARIC Center or the Host Institution in accordance with the Regulation of the Government of the Republic of April 6, 2006, No. 89 "Evaluation and academic recognition of documents proving foreign education and the name of the qualification awarded in the foreign education system terms and conditions of use". The Funding Organisation may ask for a relevant Evaluation Report.</li> </ol> <p>If several Estonian institutions participate in a proposal, all institutions must have a Principal Investigator who meets the national eligibility requirements.</p>
<p>Eligible costs</p>	<p><b>Research expenses</b> consist of <b>direct costs</b> (personnel costs, travel costs and other direct costs) and <b>subcontracting costs</b>. The research expenses must be used to carry out the project and be separately identifiable.</p> <p><b>Direct costs:</b></p>

	<p>1. <b>Personnel costs</b> are monthly salaries with social security charges and all other statutory costs of the project participants, calculated according to their commitment and in proportion to their total workload at their Host Institution.</p> <p>2. <b>Other direct costs</b> are:</p> <ul style="list-style-type: none"> <li>- travel costs that may cover expenses for transport, accommodation, daily allowances and travel insurance only for travels abroad;</li> <li>- consumables and minor equipment related to the project;</li> <li>- publication and dissemination of project results;</li> <li>- organising meetings, seminars or conferences (room rent, catering);</li> <li>- fees for participating in scientific forums, conferences and other events related to the project;</li> <li>- patent costs;</li> <li>- all other costs that are identifiable as clearly required for carrying out the project (e.g. translation, copy editing, webpage hosting, etc.) and comply with the eligible costs.</li> </ul> <p>4. <b>Subcontracting costs</b> should cover only additional or complementary research related tasks (e.g. analyses, conducting surveys, building a prototype, etc.) performed by third parties. Subcontracting costs should not be included in the overhead calculation. The activities and budget should be described in the proposal. Core project tasks should not be subcontracted. Subcontracting costs may <b>not exceed 15%</b> of the total costs.</p> <p><b>Indirect costs</b> (overhead) may <b>not exceed 15% of the personnel costs</b> and should cover the general expenses of the Host Institution. Costs for equipment and services intended for public use (e.g. a copy machine or a printer that is publicly used, phone bills, copy service, etc.) should be covered from the overhead.</p> <p><b>Double funding of activities is not acceptable.</b></p> <p><b>If several Estonian institutions participate in one proposal, the sum of their requested budgets may not exceed the maximum contribution of the respective national Funding Organisations indicated in the call documents.</b></p>
Further guidance	<p><b>State Aid</b></p> <p>EU Regulations on State aid and de minimis aid must be taken into account when requesting funding.</p> <p>If the State aid or de minimis aid regulations apply, the funding will not be granted to a Host Institution who has been subject to a funding withdrawal decision pursuant to a previous European Commission decision that deemed the aid illegal and incompatible with the common market, if that decision has not been complied with.</p> <p>The funding is not considered State aid for research and development, if the project has ties to the non-economic activities of the Research (or Host)</p>

Institution, if the research and development activities and the related costs, funding and revenue can be clearly separated, thus avoiding the cross subsidisation of economic activity.

The criteria defined in Clauses 17–22 of Communication from the European Commission – Framework for State aid for research and development and innovation (2014/C 198/01) forms the basis for determining whether the activities carried out are economic activities and whether the Host Institution is a company that is considered to be a State aid recipient when it receives funding.

If the institution applies for State aid or de minimis aid, it must fill in the **State aid form**. No tax arrears are allowed on the proposal submission date.

If State aid or de minimis aid is given, the documents related to the funding must be kept for 10 years as of the date when the agreement was entered into.

#### **State aid pursuant to the Block Exemption Regulation**

If the funding is considered to be State aid, then it is given on the basis of Article 25 or 25c of the Commission Regulation (EU) No. 651/2014 that declares certain categories of aid compatible with the internal market in application of Articles 107 and 108 of the Treaty (OJ L 187, 26.6.2014, pp. 1–78) (hereinafter the Block Exemption Regulation), and the provisions of the Commission Regulation and Section 34<sup>2</sup> of the Estonian Competition Act apply.

State aid is not given in cases specified under Articles 1(2) to (5) of the Block Exemption Regulation.

In case of State aid, the eligible costs of the project activities must comply with the requirements specified under Article 25(3) of the Block Exemption Regulation (except clause (c)), and the maximum aid intensity must comply with Articles 25(5) and (6).

If applicable the State aid is given according to the rules specified in Article 25c – aid involved in co-funded research and development projects.

If the funding can be considered to be State aid, the application must include the information specified in Article 6(2) of the Block Exemption Regulation, and the application has to be submitted before the start of the activities.

If State aid is given, then the costs of the activities carried out before application submission will not be eligible for aid.

#### **De minimis aid**

If the funding is considered de minimis aid, then it is subject to Commission Regulation (EU) No. 1407/2013 on the application of Articles 107 and 108 of the Treaty on the Functioning of the European Union to de minimis aid (OJ L 352, 24.12.2013, pp. 1–8) (hereinafter the De Minimis Aid Regulation), and the

	<p>provisions of the Regulation and Section 33 of the Estonian Competition Act apply.</p> <p>De minimis aid is not given in cases specified under Article 1(1) of the De Minimis Aid Regulation.</p> <p>In case of de minimis aid, the maximum aid intensity must comply with Article 3 of the De Minimis Aid Regulation.</p> <p>De minimis aid given to the Host Institution together with de minimis aid applied for must not exceed 200,000 euros during the current financial year and the two previous financial years.</p> <p>Article 5 of the De Minimis Aid Regulation applies to cumulating de minimis aid. A single undertaking is an undertaking specified in Article 2(1) of the De Minimis Aid Regulation.</p> <p><b>Grant Agreement</b></p> <p>If a positive funding decision is made, the Funding Organisation enters into a grant agreement with the Host Institution. Information on the transnational project must be entered into ETIS once the agreement has been signed. The Consortium Agreement should be signed six months after the grant agreement has been signed at the latest. If one year has elapsed and the CA has not been signed, the next instalment of funding will not be paid out.</p> <p><b>Research Involving Human Subjects or Animal Testing</b></p> <p>If human research or animal testing are intended in the project, a positive resolution by the Human Research Ethics Committee or the Authorisation Committee for Animal Experiments must be submitted to the Funding Organisation by the start of the relevant activities.</p> <p><b>Nagoya Protocol</b></p> <p>By applying for funding by the Funding Organisation, the applicants commit to consider the relevance of the Nagoya protocol for their research, and to submit the Due Diligence Declaration, if applicable.</p> <p><b>More info:</b>  <a href="https://etag.ee/en/cooperation/baltic-nordic/nordforsk/">https://etag.ee/en/cooperation/baltic-nordic/nordforsk/</a>  <a href="https://etag.ee/en/cooperation/baltic-nordic/">https://etag.ee/en/cooperation/baltic-nordic/</a></p>
National contact points	<p>Katrin Saar; <a href="mailto:katrin.saar@etag.ee">katrin.saar@etag.ee</a>; (+372) 5333 5625; <a href="http://www.etag.ee">www.etag.ee</a></p> <p>Argo Peepson; <a href="mailto:argo.peepson@agri.ee">argo.peepson@agri.ee</a>; (+372) 625 6157</p>

## Finland

Funding organisation	Research Council of Finland (former Academy of Finland) administered by NordForsk
Funding pre-commitment	<p>RCF's total amount for the Green Transition call is maximum 2 M EUR.</p> <p>The division between subtopics:</p> <ol style="list-style-type: none"> <li>1. Energy Efficiency in the Food Systems for a Green Transition: EUR 500 000</li> <li>2. Climate and Gender Perspectives: EUR 500 000</li> <li>3. Democratic Engagement and Citizen-Involvement in Green Transition: 1 M EUR</li> </ol>
Eligible organisations	<p>RCF funds mainly research organisations, e.g. Finnish universities, university colleges and research institutes. For more information on eligible organisations, please see: <a href="https://www.aka.fi/en/research-funding/apply-for-funding/how-to-apply-for-funding/az-index-of-application-guidelines2/guidelines-for-sites-of-research/">https://www.aka.fi/en/research-funding/apply-for-funding/how-to-apply-for-funding/az-index-of-application-guidelines2/guidelines-for-sites-of-research/</a></p> <p>RCF's funding cannot be used to support economic activity, which is defined as all activity where goods or services are offered on an open market. Organisations with both economic and non-economic activity must have in place a formal separation between economic and non-economic activities. This may be achieved by either organising the economic activities as a separate legal entity or by keeping separate accounts for economic and non-economic activities. For more information for economic operators, please see: <a href="https://www.aka.fi/en/research-funding/apply-for-funding/how-to-apply-for-funding/az-index-of-application-guidelines2/economic-operator/">https://www.aka.fi/en/research-funding/apply-for-funding/how-to-apply-for-funding/az-index-of-application-guidelines2/economic-operator/</a></p> <p>Applicants intending to apply for funding for non-research organisations should contact NordForsk's contact point before applying.</p>
Additional eligibility criteria	<p>The principal investigator (PI) must have a doctoral degree and also other significant scientific merits. Usually, the PI is a researcher at the professor or docent (adjunct professor) level.</p> <p>Maximum one application per principal investigator will be considered in this call. I.e. the same person may be a PI in only one application.</p>



Eligible costs	<p>Research costs indicated in the call text are eligible for the Finnish participants, with following reservations:</p> <ul style="list-style-type: none"> <li>- open access charges are included in the overheads of the sites of research and thus form part of the costs of the basic facilities they provide</li> <li>- overheads percentage calculated as a percentage of the total sum of the salaries and indirect employee costs for effective working hours may not exceed 50 %; any other direct administrative cost is not allowed</li> <li>- 100 % of the project costs can be funded</li> </ul>
Further guidance	RCF urges applicants to get in touch with the NordForsk contact point already in the early phase of the project planning.
National contact point	Contact point at NordForsk

## Iceland

Funding organisation	Icelandic Centre for Research, Rannís
Funding pre-commitment	<p>Rannís total amount for the Green Transition call is maximum 1 M NOK.</p> <p>The division between subtopics:</p> <ol style="list-style-type: none"> <li>1. Energy Efficiency in the Food Systems for a Green Transition: NOK 300 000</li> <li>2. Climate and Gender Perspectives: NOK 300 000</li> <li>3. Democratic Engagement and Citizen-Involvement in Green Transition: NOK 400 000</li> </ol>
Eligible organisations	<p>Researchers at Icelandic universities, research institutes or companies. Research students at Icelandic universities.</p> <p><a href="https://en.rannis.is/funding/research/icelandic-research-fund/">https://en.rannis.is/funding/research/icelandic-research-fund/</a></p> <p>Applicants intending to apply for funding for non-research organisations should contact National contact point before applying.</p>
Additional eligibility criteria	Principal Investigators (PIs) must have completed their graduate studies at an accredited university and have experience in running research projects. This stipulation does not apply to Doctoral student grants.
Eligible costs	<p>See 3.3. The approved maximum expenses for each grant type, and the benchmark is Project grant.</p> <p><a href="https://en.rannis.is/funding/research/icelandic-research-fund/">https://en.rannis.is/funding/research/icelandic-research-fund/</a></p>
Further guidance	Same reference as above
National contact point	Kristín Hermannsdóttir, <a href="mailto:kristin.hermannsdottir@rannis.is">kristin.hermannsdottir@rannis.is</a>

## Lithuania

Funding organisation	The Research Council of Lithuania
Funding pre-commitment	600 000 EUR
Eligible organisations	<ul style="list-style-type: none"> <li>Investigator (-s) with Lithuanian higher education and research institution, which is listed in the Lithuanian Register of Educational and Scientific Institutions.</li> <li>The applicant who intends to act as a Principal Investigator (PI) has to be a scientist (researcher holding at least a Ph.D. degree) and is allowed to apply one proposal under this call.</li> <li>Industrial partners only participate in collaboration with Lithuanian higher education and research institution.</li> </ul>
Eligible costs	<p>Eligible costs.</p> <p>Direct costs: personnel, subcontracting (services and copyright works), consumables, travel and subsistence, dissemination of results, and others.</p> <p>Overheads: up to 20% of direct costs.</p> <p>LT project budget.</p> <p>The maximum requested amount by the project partner is up to 150.000 EUR, and by the project coordinator - 200.000 EUR.</p> <p>A public or private legal entity registered in the Republic of Lithuania can be a project partner applying with MSI. Funding may be allocated to a private legal partner under the 2013 December 18 Commission Regulation (EU) No. 1407/2013 on applying Articles 107 and 108 of the Agreement to de minimis aid (OJ 2013 L 352).</p>
Further guidance	<ul style="list-style-type: none"> <li>General Rules of the Research Council of Lithuania for the Competitive Funding of Research and Dissemination Projects (<a href="#">EN</a>, <a href="#">LT</a>)</li> <li>Open Access Rules (<a href="#">EN</a>, <a href="#">LT</a>)</li> </ul>
Post-award information	Lithuanian projects selected for funding will be asked to provide a detailed justification of costs and list the project's expected outputs.
National contact point	<p>Laura Kostelnickiene International Programme Coordinator Phone +370 676 17398, e-mail <a href="mailto:laura.kostelnickiene@lmt.lt">laura.kostelnickiene@lmt.lt</a></p>

## Norway

Funding organisation	Research Council of Norway
Funding pre-commitment	RCN total amount for the Green Transition call is maximum NOK 10 million to the subtopic Democratic Engagement and Citizen-Involvement in Green Transition.
Eligible organisations	<p>Qualified research organisations on the list of <a href="#">approved Norwegian research organisations</a>.</p> <p>All types of organisations can participate as partners.</p> <p>The beneficiary must be a registered legal entity, have credible capacity to execute the project activities, demonstrate financial viability, and provide transparency as to funding requested or received from other sources.</p>
Additional eligibility criteria	<p>The RCN funding to research organisations is reserved for non-economic activities and is not considered state aid. Organisations with both economic and non-economic activity must have in place a formal separation between economic and non-economic activities. This may be achieved by either organising the economic activities as a separate legal entity or by keeping separate accounts for economic and non-economic activities. The RCN funding to undertakings comprises state aid. Undertakings are entities that offer their products and/or services in the marketplace. If the undertaking is to receive support to cover any of its project costs, this must be in compliance with the Block Exemption Regulation art. 25 (Commission Regulation (EU) nr. 651/2014).</p> <p>Article 25 imposes certain restrictions on funding for partners that are undertakings. The level of support (aid intensity) permitted will depend on the undertaking's size and the type of activity that is carried out. If the application is recommended for funding, we will request more information to ensure that our allocation is in accordance with the state aid rules.</p> <p>Companies serving as partners may have parts of their project costs covered in accordance with the General Block Exemption Regulation Article 25 (Commission Regulation (EU) No. 651/2014). Conditions and concepts are to be interpreted in keeping with corresponding conditions and concepts in the state aid rules. In the event of conflict between the text of the call and the state aid rules, the latter will have precedence.</p>

	<p>State aid may not be given to an undertaking that is subject to an outstanding recovery order following a formal decision by the EFTA Surveillance Authority or the European Commission stating that state aid received is illegal and incompatible with the internal market. Nor can the Research Council award state aid to an enterprise that is defined as an 'undertaking in difficulty' under the state aid rules.</p> <p>See <a href="https://forskingsradet.no/conditions-for-awarding-state-aid">Conditions for awarding state aid (forskingsradet.no)</a></p>
Eligible costs	<p><a href="https://forskingsradet.no/what-to-enter-in-the-project-budget">What to enter in the project budget (forskingsradet.no)</a></p> <p>RCN covers the costs for open access publication as part of the indirect costs (overhead) to the institution where the researcher is employed. Publishing costs for journal articles must therefore not be entered as direct costs in budgets for individual projects.</p> <p>It is the institutions' responsibility to ensure that the costs of open access publication are covered through the rates from the Research Council.</p> <p>Costs of academic books that are published open access without an embargo period under an open licence can be covered through direct project costs. Books with an embargo period cannot be funded through project costs.</p> <p>Publishing costs to "Hybrid OA", i.e., acquiring articles in "closed" subscription journals, are not covered by the RCN.</p> <p><a href="https://forskingsradet.no/open-access-to-publications">Open access to publications (forskingsradet.no)</a></p>
Further guidance	<p><a href="https://forskingsradet.no/international-funding">International funding (forskingsradet.no)</a></p>
National contact point	<p>Bjarne Oppedal, <a href="mailto:bop@forskingsraadet.no">bop@forskingsraadet.no</a></p>

## Sweden

Funding organisation	Swedish Research Council for Environment, Agricultural Sciences and Spatial Planning, FORMAS
Funding pre-commitment	Formas, together with the Swedish Energy Agency, together commit SEK 20 million over four years to the call. Out of these, 5 million SEK are assigned to theme 1 (Energy Efficiency in the Food Systems for a Green Transition) and 2 (Climate and Gender Perspectives) respectively, and 10 million SEK are assigned to theme 3 (Democratic Engagement and Citizen-Involvement in Green Transition).
Eligible organisations	Grants for research projects can only be administered by a Swedish university, university college or other Swedish public organisation that fulfils the grant administrating organisation eligibility requirements of Formas (Please check: <a href="#">Who can become an administrating organisation? - Formas</a> ).
Additional eligibility criteria	To be eligible to apply for grants, main applicants and co-applicants must have a doctoral degree. Other people participating in the project (e.g. doctoral students, technicians, lab assistants) do not need to have a doctoral degree.
Eligible costs	<p>Project grants may be used to cover salaries for researchers, doctoral students and technical staff. Social security contributions should be included. Funds can be granted for annual salary increases. The total salary cost for an individual researcher, doctoral student or other staff may not exceed 100 per cent of a full-time position.</p> <p>Grants may also be used to finance running costs (e.g. consumables, equipment, travel, conferences, publication in open access journals), equipment depreciation costs and premises costs. Running costs can also include research services, technical knowledge and consulting services. The total maximum amount that can be granted for equipment and equipment depreciation costs is 500 000 SEK.</p> <p>Also costs of premises and overhead costs may be funded.</p> <p>For further specifications, see: <a href="#">Project costs that qualify for funding - Formas</a></p>
Further guidance	<p>For information regarding Formas' ethical guidelines and issues concerning public and open access to research results and scientific data, please see the General instructions for applicants to Formas <a href="#">Governing documents - Formas</a>.</p> <p>Each Swedish PI awarded funding must submit a financial and scientific report to Formas when the project has ended.</p> <p>For further information, see <a href="#">Terms and Conditions for Grants - Formas</a></p>
National contact point	Anna Kaijser, <a href="mailto:anna.kaijser@formas.se">anna.kaijser@formas.se</a> , +46 (0)8 775 41 33